

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Bryan D. Boatright et al.

Application No.: 10/750,562

Filed: 12/31/2003

For: METHODS AND APPARATUSES

FOR REDUCING INFANT

MORTALITY IN

SEMICONDUCTOR DEVICES UTILIZING STATIC RANDOM ACCESS MEMORY (SRAM) Examiner: Not Yet Assigned

Art Group: 2121

Confirmation No. 5697

CERTIFICATE OF TRANSMISSION/MAILING
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or Printed: Heather Adamson

Signature: Nutth WWWWWWW Date: 1/29/2004

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA; (2) Within three months of entry into National Stage; (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. OR (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. **AND** (2) The fee set forth in 37 C.F.R. § 1.17(p).

- 2 -

A check in the amount of \$180.00 is enclosed.

Attorney's Docket No.: 110348-135356 Application No.: 10/750,562

Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT copies of references waived under: (A) one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)): 37 C.F.R. § 1.98(c) as being cumulative (marked with an "\*" on the Form PTO-1449). 37 C.F.R. § 1.98(d) as being previously submitted in parent application \_/\_\_\_, to which the present application claims priority under 35 U.S.C. § 120. (B) 1273 Off. Gaz. Pat. Office 55 as being submitted with respect to an application filed after June 30, 2003. The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes. Respectfully submitted, Schwabe, Williamson & Wyatt, P.C.

Dated: 29 00 09

Robert Watt

Registration No. 45,890

Pacwest Center, Suites 1600-1900 1211 SW Fifth Avenue Portland, Oregon 97204 Telephone: 503-222-9981

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/750,562		
Filing Date	12/31/2003		
First Named Inventor	Bryan D. Boatright		
Art Unit	2121		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	110348-135356		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,185,676 B1	02/06/2001	Poplingher et al.	
	2	<sup>US-</sup> 2002/0199067 A1	12/26/2002	Patel et al.	
	3	<sup>US-</sup> 2004/0019753 A1	01/29/2004	Boatright et al.	
-		US-			
		US-			•
		US-			
-	1	US-			
		US-			•
	1	US-			
	1	US-			
		US-			
	1	US-			

***		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Cite F	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
						┢
					W. 1000-2	L
						$\vdash$
						┢

		<del></del>
Examiner	Date	
Signature	Conside	ered
Signature		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Finds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Finds Codes of USPTO Patent Documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

TRANSMITTAL FORM  (to be used for all correspondence after initial file Total Number of Pages in This Submission	Application Number  Filing Date  First Named Inventor  Art Unit  Examiner Name  Attorney Docket Number	Approved for use through 07/31/200 Patent and Trademark Office; U.S. DEPARTMEN lection of information unless it displays a valid Of 10/750,562 12/31/2003 Bryan D. Boatright 2121 Not Yet Assigned 110348-135356	IT OF COMMERCE
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocatic Change of Correspondence  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on C	After Allowance Communication of Appeals and Interferment (Appeal Notice, Brief, Research Proprietary Information Status Letter Other Enclosure(s) (public Notice) Return Receipt Postcard	on to Board · errences on to TC Reply Brief)
Firm Name Schwabe, Williamson & W	TURE OF APPLICANT, ATTO	DRNEY, OR AGENT	
Printed name Robert Watt  Date		Reg. No. 45,890	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Hethe adamson

Typed or printed name

Heather L. Adamson

Date

10/29/2000

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number. no persons are required to re

EE	TR	AN	SM	IT	TAL
	for	FY	200	)5	

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

OTAL AMOUNT OF PAYMENT	(\$)	0.00
------------------------	------	------

SUBMITTED BY

Name (Print/Type) Signature

Robert Watt

Complete if Known			
Application Number	10/750,562		
Filing Date	12/31/2003		
First Named Inventor	Bryan D. Boatright		
Examiner Name	Not Yet Assigned		
Art Unit	2121		
Attorney Docket No.	110348-135356		

(Complete (if applicable))

Telephone 503-222-9981

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES			
Order Order	Large Entity   Small Entity			
Denosit	Fee Fee Fee Fee Fee Description  Fee Pair	,		
Account Number	1051 130 2051 65 Surcharge - late filling fee or oath	ור		
Deposit Caburaha Williamson et al	1052 50 2052 25 Surcharge - late provisional filing fee or	7		
Account Name Scriwabe Williamson et al.	cover sheet 1053 130 1053 130 Non-English specification	7		
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification  1812 2.520 1812 2.520 For filing a request for <i>ex parte</i> reexamination	٦!		
Charge fee(s) indicated below Credit any overpayments	1804 920* 1804 920* Requesting publication of SIR prior to	7		
Charge any additional fee(s) or any underpayment of fee(s)	Examiner action			
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action			
to the above-identified deposit account.	1251 110 2251 55 Extension for reply within first month	_		
FEE CALCULATION	1252 430 2252 215 Extension for reply within second month	4		
1. BASIC FILING FEE Large Entity Small Entity	1253 980 2253 490 Extension for reply within third month	_		
Fee Fee Fee Fee Description Fee Paid	1254 1,530 2254 765 Extension for reply within fourth month			
Code (\$) Code (\$) 1001 790 2001 395 Utility filing fee	1255 2,080 2255 1,040 Extension for reply within fifth month	4		
1002 350 2002 175 Design filing fee	1401 340 2401 170 Notice of Appeal			
1002 330 2002 173 Design ming fee	1402 340 2402 170 Filing a brief in support of an appeal	_		
1004 790 2004 395 Reissue filing fee	1403 300 2403 150 Request for oral hearing	┙		
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding	_		
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable	4		
	1453 1,370 2453 685 Petition to revive - unintentional	_]		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,370 2501 685 Utility issue fee (or reissue)	_		
Extra Claims below Fee Paid	1502 490 2502 245 Design issue fee	4		
Total Claims	1503 660 2503 330 Plant issue fee	4		
Claims — - 3"" = ^	1460 130 1460 130 Petitions to the Commissioner	4		
Multiple Dependent	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	4		
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt	$\dashv$		
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20	1809 790 2809 395 Filing a submission after final rejection	$\neg$		
1201 88 2201 44 Independent claims in excess of 3	(37 CFR 1.129(a))	$\dashv$		
1203 300 2203 150 Multiple dependent claim, if not paid	1810 790 2810 395 For each additional invention to be examined (37 CFR 1.129(b))	Ц		
1204 88 2204 44 ** Reissue independent claims over original patent	1801 790 2801 395 Request for Continued Examination (RCE)			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 0.00	Other fee (specify)	ᆜ		
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00	$\perp$		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Registration No.

45,890

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.